



General Assembly

February Session, 2000

Amendment

LCO No. 3441

Offered by:

SEN. CAPPIELLO, 24th Dist.

SEN. MCKINNEY, 28th Dist.

To: Subst. House Bill No. 5102

File No. 475

Cal. No. 333

"An Act Proposing Comprehensive Campaign Finance Reform For State-Wide Constitutional Offices And General Assembly Offices."

1 After line 1785, add the following:

2 "Sec. 40. Subsection (b) of section 9-333b of the general statutes, as
3 amended by public act 99-264, is repealed and the following is
4 substituted in lieu thereof:

5 (b) As used in this chapter, "contribution" does not mean:

6 (1) A loan of money made in the ordinary course of business by a
7 national or state bank;

8 (2) Any communication made by a corporation, organization or
9 association to its members, owners, stockholders, executive or
10 administrative personnel, or their families;

11 (3) Nonpartisan voter registration and get-out-the-vote campaigns
12 by any corporation, organization or association aimed at its members,

13 owners, stockholders, executive or administrative personnel, or their
14 families;

15 (4) Uncompensated services provided by individuals volunteering
16 their time;

17 (5) The use of real or personal property, and the cost of invitations,
18 food or beverages, voluntarily provided by an individual to a
19 candidate or on behalf of a state central or town committee, in
20 rendering voluntary personal services for candidate or party-related
21 activities at the individual's residence, to the extent that the cumulative
22 value of the invitations, food or beverages provided by the individual
23 on behalf of any single candidate does not exceed two hundred dollars
24 with respect to any single election, and on behalf of all state central
25 and town committees does not exceed four hundred dollars in any
26 calendar year;

27 (6) The sale of food or beverage for use in a candidate's campaign or
28 for use by a state central or town committee at a discount, if the charge
29 is not less than the cost to the vendor, to the extent that the cumulative
30 value of the discount given to or on behalf of any single candidate does
31 not exceed two hundred dollars with respect to any single election,
32 and on behalf of all state central and town committees does not exceed
33 four hundred dollars in a calendar year;

34 (7) Any unreimbursed payment for travel expenses made by an
35 individual who on his own behalf volunteers his personal services to
36 any single candidate to the extent the cumulative value does not
37 exceed two hundred dollars with respect to any single election, and on
38 behalf of all state central or town committees does not exceed four
39 hundred dollars in a calendar year;

40 (8) The payment, by a party committee, political committee or an
41 individual, of the costs of preparation, display, mailing or other
42 distribution incurred by the committee or individual with respect to
43 any printed slate card, sample ballot or other printed list containing
44 the names of three or more candidates;

45 (9) The donation of any item of personal property by an individual
46 to a committee for a fund-raising affair, including a tag sale or auction,
47 or the purchase by an individual of any such item at such an affair, to
48 the extent that the cumulative value donated or purchased does not
49 exceed fifty dollars;

50 [(10) The purchase of advertising space which clearly identifies the
51 purchaser, in a program for a fund-raising affair, provided the
52 cumulative purchase of such space does not exceed two hundred fifty
53 dollars from any single candidate or his committee with respect to any
54 single election campaign or two hundred fifty dollars from any single
55 party committee or other political committee in any calendar year if
56 the purchaser is a business entity or fifty dollars for purchases by any
57 other person;]

58 [(11)] (10) The payment of money by a candidate to his candidate
59 committee;

60 [(12)] (11) The donation of goods or services by a business entity to a
61 committee for a fund-raising affair, including a tag sale or auction, to
62 the extent that the cumulative value donated does not exceed one
63 hundred dollars;

64 [(13)] (12) The advance of a security deposit by an individual to a
65 telephone company, as defined in section 16-1, for telecommunications
66 service for a committee, provided the security deposit is refunded to
67 the individual; or

68 [(14)] (13) The provision of facilities, equipment, technical and
69 managerial support, and broadcast time by a community antenna
70 television company, as defined in section 16-1, for community access
71 programming pursuant to section 16-331a, unless (A) the major
72 purpose of providing such facilities, equipment, support and time is to
73 influence the nomination or election of a candidate or (B) such
74 facilities, equipment, support and time are provided on behalf of a
75 political party.

76 Sec. 41. Subsection (c) of section 9-333j of the general statutes is
77 repealed and the following is substituted in lieu thereof:

78 (c) (1) Each statement filed under subsection (a), (e) or (f) of this
79 section shall include, but not be limited to: (A) An itemized accounting
80 of each contribution, if any, including the full name and complete
81 address of each contributor and the amount of the contribution; (B) in
82 the case of anonymous contributions, the total amount received and
83 the denomination of the bills; (C) an itemized accounting of each
84 expenditure, if any, including the full name and complete address of
85 each payee, the amount and the purpose of the expenditure, the
86 candidate supported or opposed by the expenditure, whether the
87 expenditure is made independently of the candidate supported or is an
88 in-kind contribution to the candidate, and a statement of the balance
89 on hand or deficit, as the case may be; (D) an itemized accounting of
90 each expense incurred but not paid; (E) the name and address of any
91 person who is the guarantor of a loan to, or the cosigner of a note with,
92 the candidate on whose behalf the committee was formed, or the
93 campaign treasurer in the case of a party committee or a political
94 committee or who has advanced a security deposit to a telephone
95 company, as defined in section 16-1, for telecommunications service
96 for a committee; (F) [for each business entity or person purchasing
97 advertising space in a program for a fund-raising affair, the name and
98 address of the business entity and the name of the chief executive
99 officer of the business entity or the name and address of the person,
100 and the amount and aggregate amounts of such purchases; (G)] for
101 each individual who contributes in excess of one hundred dollars but
102 not more than one thousand dollars, in the aggregate, to the extent
103 known, the principal occupation of such individual and the name of
104 the individual's employer, if any; [(H)] (G) for each individual who
105 contributes in excess of one thousand dollars in the aggregate, the
106 principal occupation of such individual, the name of the individual's
107 employer, if any, and a statement indicating whether the individual or
108 a business with which he is associated has a contract with the state
109 which is valued at more than five thousand dollars; and [(I)] (H) for

110 each itemized contribution made by a lobbyist, the spouse of a lobbyist
111 or any dependent child of a lobbyist who resides in the lobbyist's
112 household, a statement to that effect. Each campaign treasurer shall
113 include in such statement an itemized accounting of the receipts and
114 expenditures relative to any testimonial affair held under the
115 provisions of section 9-333k or any other fund-raising affair.

116 (2) Each contributor described in subparagraph [(G), (H) or (I)] (F),
117 (G) or (H) of subdivision (1) of this subsection shall, at the time he
118 makes such a contribution, provide the information which the
119 campaign treasurer is required to include under said subparagraph in
120 the statement filed under subsection (a), (e) or (f) of this section.
121 Notwithstanding any provision of subdivision (2) of section 9-7b, any
122 contributor described in subparagraph [(G)] (F) of subdivision (1) of
123 this subsection who does not provide such information at the time he
124 makes such a contribution and any treasurer shall not be subject to the
125 provisions of subdivision (2) of section 9-7b. If a campaign treasurer
126 receives a contribution from an individual which separately, or in the
127 aggregate, is in excess of one thousand dollars and the contributor has
128 not provided the information required by said subparagraph [(H)] (G),
129 the campaign treasurer: (i) Within three business days after receiving
130 the contribution, shall send a request for such information to the
131 contributor by certified mail, return receipt requested; (ii) shall not
132 deposit the contribution until he obtains such information from the
133 contributor, notwithstanding the provisions of section 9-333h; and (iii)
134 shall return the contribution to the contributor if the contributor does
135 not provide the required information within fourteen days after the
136 treasurer's written request or the end of the reporting period in which
137 the contribution was received, whichever is later. Any failure of a
138 contributor to provide the information which the campaign treasurer is
139 required to include under said subparagraph [(G) or (I)] (F) or (H),
140 which results in noncompliance by the campaign treasurer with the
141 provisions of said subparagraph [(G) or (I)] (F) or (H), shall be a
142 complete defense to any action against the campaign treasurer for
143 failure to disclose such information.

144 (3) Contributions from a single individual to a campaign treasurer
145 in the aggregate totaling thirty dollars or less need not be individually
146 identified in the statement, but a sum representing the total amount of
147 all such contributions made by all such individuals during the period
148 to be covered by such statement shall be a separate entry, identified
149 only by the words "total contributions from small contributors".

150 (4) Statements filed in accordance with this section shall remain
151 public records of the state for five years from the date such statements
152 are filed.

153 Sec. 42. Subsection (g) of section 9-333l of the general statutes is
154 repealed and the following is substituted in lieu thereof:

155 (g) As used in this subsection, "immediate family" means any
156 spouse or dependent child who resides in a lobbyist's household. Each
157 lobbyist who is an individual and, in conjunction with members of his
158 immediate family, makes contributions to or purchases from
159 committees exceeding one thousand dollars in the aggregate during
160 the twelve-month period beginning July 1, 1993, or July first in any
161 year thereafter, shall file a statement, sworn under penalty of false
162 statement, with the Secretary of the State in accordance with the
163 provisions of section 9-333e, on the second Thursday in July following
164 the end of such twelve-month period. The statement shall include: (1)
165 The name of each committee to which the lobbyist or a member of his
166 immediate family has made a contribution and the amount and date of
167 each such contribution; and (2) the name of each committee from
168 which the lobbyist or member of his immediate family has purchased
169 any item of property [or advertising space in a program] in connection
170 with a fund-raising event which is not considered a contribution under
171 subsection (b) of section 9-333b and the amount, date and description
172 of each such purchase. Each lobbyist who is an individual and who, in
173 conjunction with members of his immediate family, does not make
174 contributions to or purchases from committees exceeding one
175 thousand dollars in the aggregate during any such twelve-month
176 period shall file a statement, sworn under penalty of false statement,

177 with the Secretary of the State in accordance with the provisions of
178 section 9-333e, on the second Thursday in July, so indicating."